

# Splines, Subdivision & Manifolds

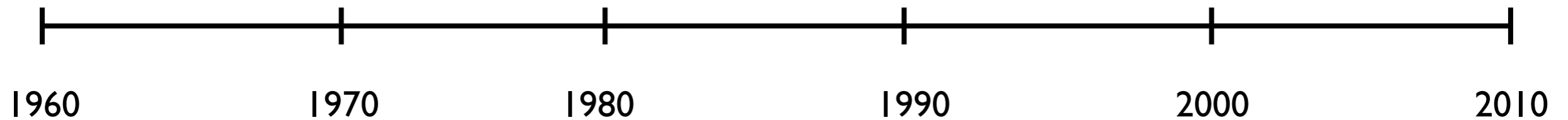
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IMPA

# Historical Perspective

*splines*

*subdivision surfaces*

*manifolds*



Bezier (60)  
de Casteljau (60)

De Boor (72)  
Chaikin (74)  
Riesenfeld (80)

Doo-Sabin (78)  
Catmull-Clark (78)  
Loop (87)  
Dyn (90)

Zorin (89)  
Kobbelt (00)  
Velho (01)  
Schroeder (02)

Grimm (95)

Naveau (02)  
Zorin (04)  
Gu (06)  
Siqueira (09)

# Modeling

- Splines (Regular Surfaces)
  - Free-Form Modeling
- Subdivision (Arbitrary Surfaces)
  - Efficient Algorithms
- Manifolds (Smooth Surfaces)
  - Intrinsic Operations

# Related Developments

- Parametrizations
- Surface Deformation
- Re-meshing
- Quad Structures
- Geometry Processing
- Discrete Differential Geometry (DDG)

# Scale Spaces & Wavelets

- Constructions
  - Meyer (80)
- Smoothness
  - Daubechies (88)
- Multiresolution + FWT
  - Mallat (89)
- Lifting
  - Sweldens (95)

# Road Map

Refinable Functions



Scale Spaces



Subdivision

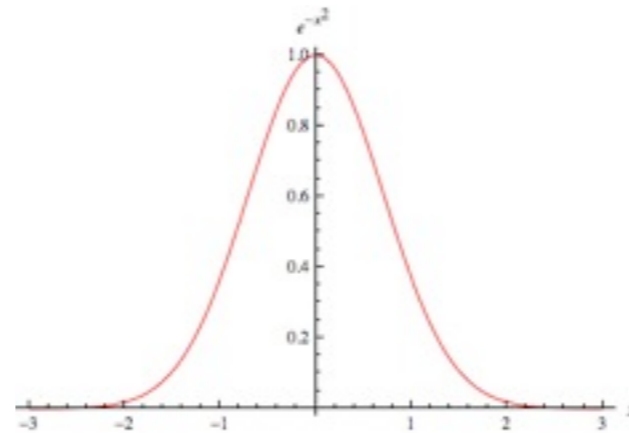


Splines

# Scaling Function

- Localization (space / frequency)

$$\phi(x)$$



- Normalization

$$\int \phi(x) dx = 1$$

# Scaling Family

$$\phi_{s,t}(x) = \frac{1}{|s|^{1/2}} \phi\left(\frac{x}{s} - t\right)$$

- Two Parameters

- Change of Scale

$$\phi_s(x) = \frac{1}{|s|^{1/2}} \phi\left(\frac{x}{s}\right)$$

- Change of Position

$$\phi_t(x) = \phi(x - t)$$



# Scale Spaces

- Smoothing Operator

$$\phi_s(f(x)) = \int \phi_{s,t}(x) f(x) dt$$

- Linear Scale Space

- Gaussian

- Physical Interpretation

- Heat equation (Smoothing ~ Diffusion)

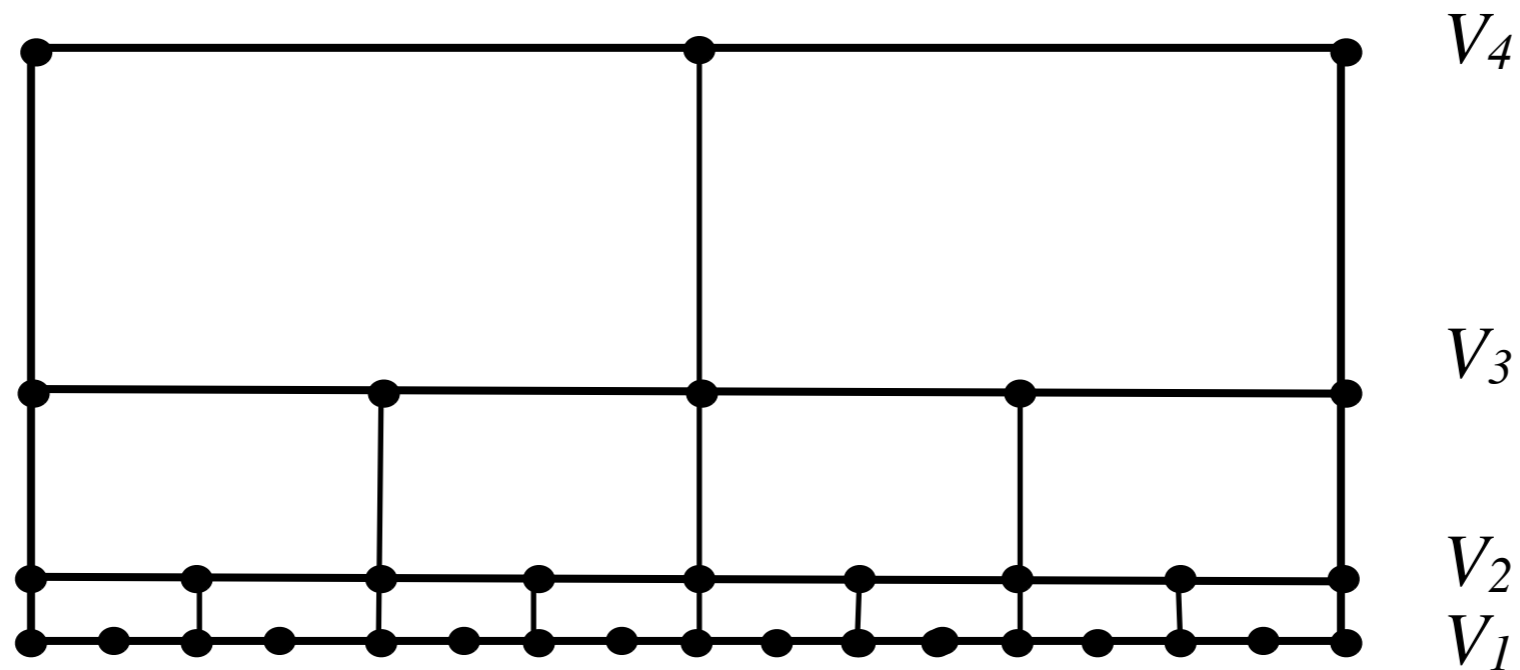
# Discretization

$$\Delta_{s_0, t_0} = \{(s_0^m, ns_0^m t); m, n \in \mathbb{Z}\}$$

- Dyadic Structure

$$\Delta_{2,1} = \{(2^j, k2^j); j, k \in \mathbb{Z}\}$$

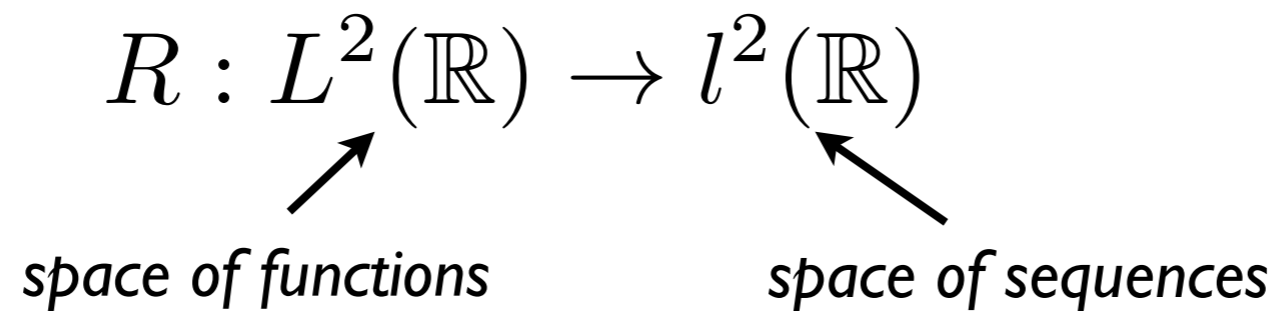
- Hyperbolic Lattice



# Function Representation

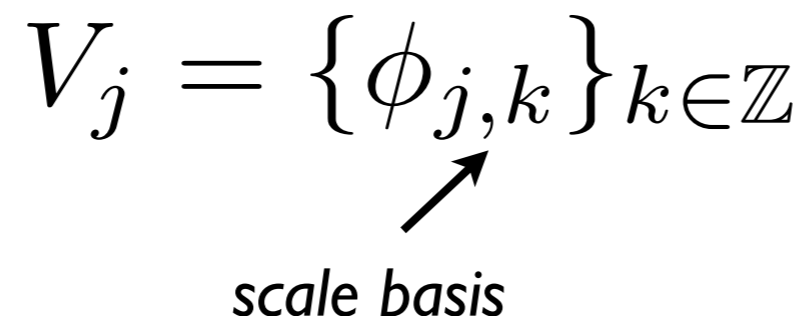
- Representation Operator:  $R(f) = (f_j)_{j \in \mathbb{Z}}$

$$R : L^2(\mathbb{R}) \rightarrow l^2(\mathbb{R})$$

  
*space of functions*                      *space of sequences*

- Approximation Spaces

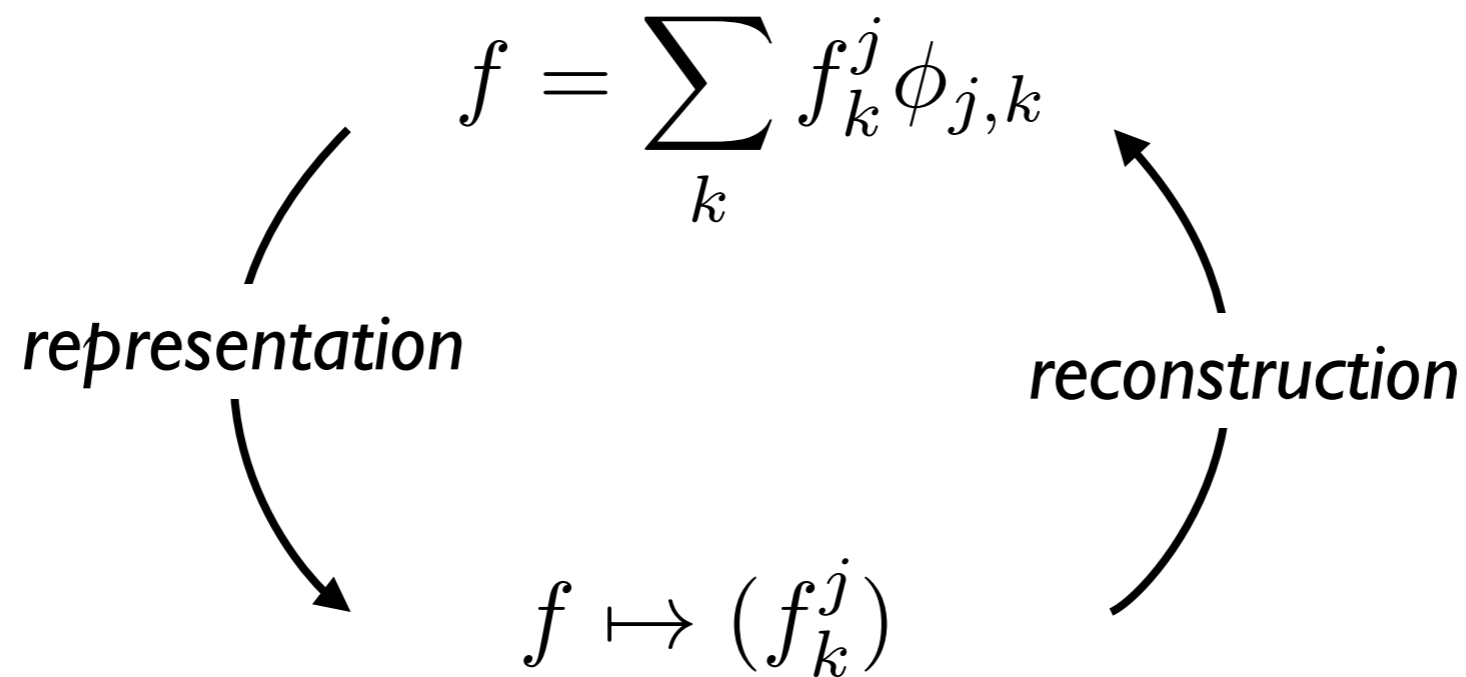
$$V_j = \{\phi_{j,k}\}_{k \in \mathbb{Z}}$$

  
*scale basis*

# Basis and Representation

- Orthogonal Projection  $\sim$  Basis  $V_j$

$$\text{Proj}_{V_j}(f) = \sum_k \langle f, \phi_{j,k} \rangle = \sum_k f_k^j \phi_{j,k}$$



# Two-Scale Relation

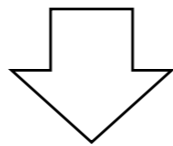
- Dilation Equation

- Scaling Basis:  $\phi_0 \in V_0 \subset V_{-1}$

$$\phi_0 = \sum_k \langle \phi_0, \phi_{-1,k} \rangle \phi_{-1,k} = \sum_k h_k \phi_{-1,k}$$

- Reflexive Definition of Basis

$$\phi(x) = \sqrt{2} \sum_k h_k \phi(2x - k)$$

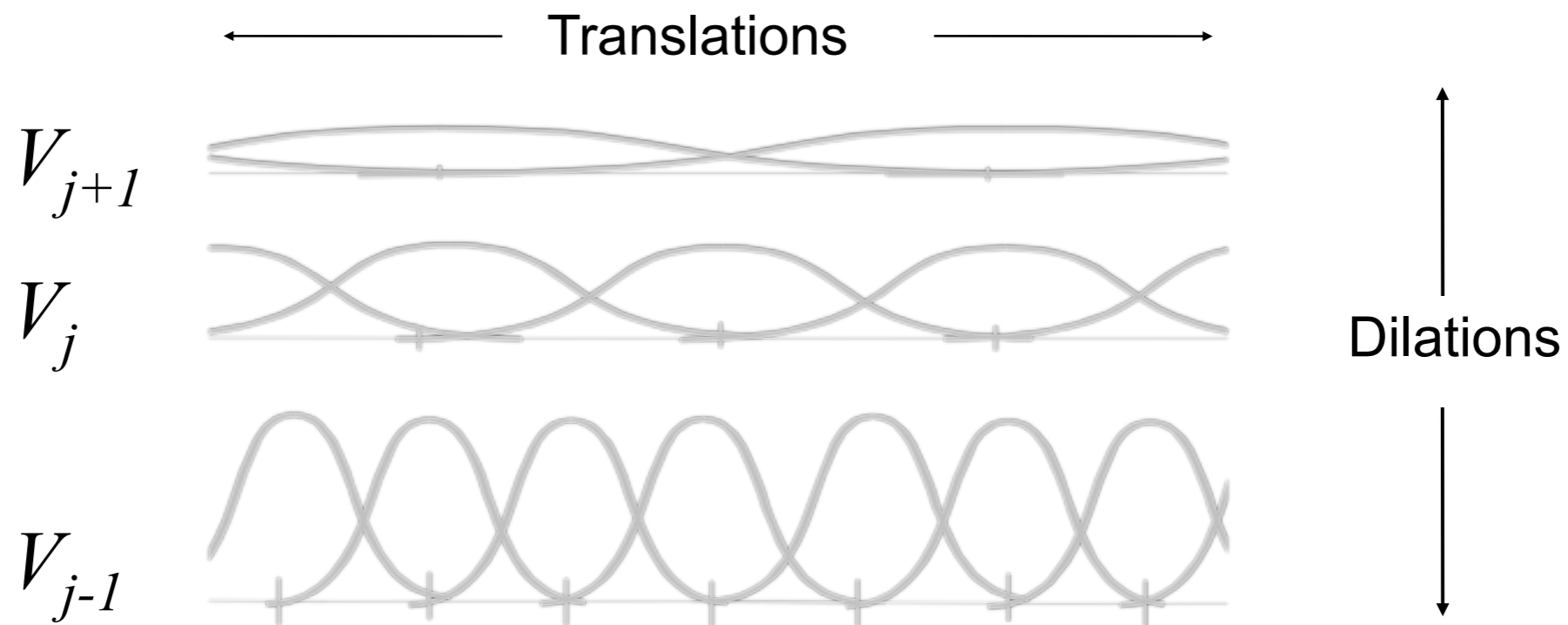


**Refinable Function**

# Multiresolution Analysis

- Nested Approximation Spaces

$$\{0\} \subset \cdots \subset V_{j+1} \subset V_j \subset V_{j-1} \subset \cdots \subset L^2(\mathbb{R})$$



$$\phi_{j,k}(x) = 2^{-2/j} \phi(2^{-j}x - k)$$

# B-Spline Basis

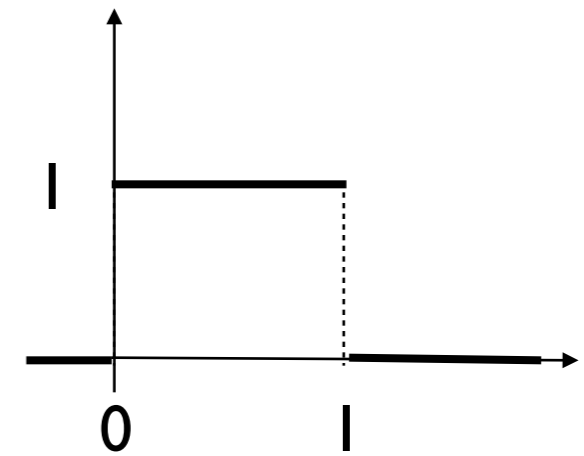
- Refinable Scaling Functions
- Basis of  $P^m$ 
  - Uniform Piecewise Polynomials
- Properties
  - Smoothness
  - Compact Support
  - Normalization
  - Partition of Unity

# B-Splines

- Def: (*repeated integration*)

- B-Spline of order 1 (Haar)

$$n^1(x) = \begin{cases} 1 & 0 \leq x < 1; \\ 0 & \text{otherwise.} \end{cases}$$



- B-Spline of order  $m > 1$

$$n^m(x) = \int n^{m-1}(x-t)dt$$

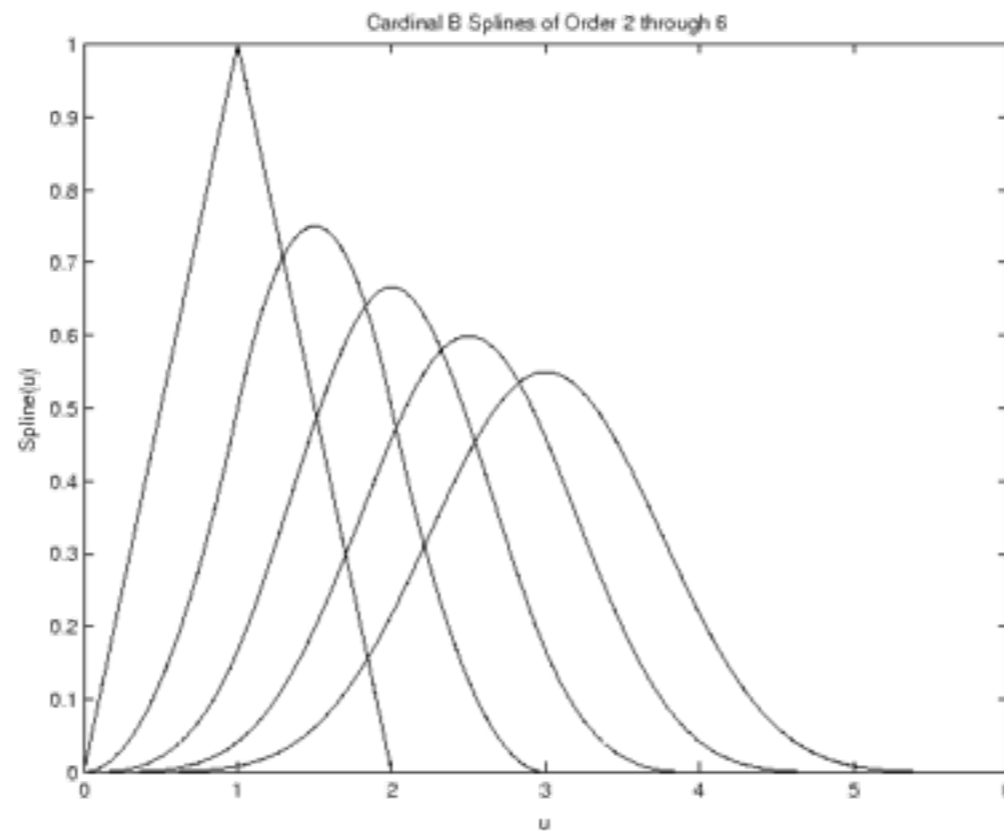
*Obs: recurrence relation*



# B-Splines & Gaussian


- Theorem:

$$\lim_{m \rightarrow \infty} n^m(x) = G(x)$$



# B-Spline Subdivision

- Refinement Relation

$$n^m(x) = \sum_{k=0}^m S_k^m n^m(2x - k)$$


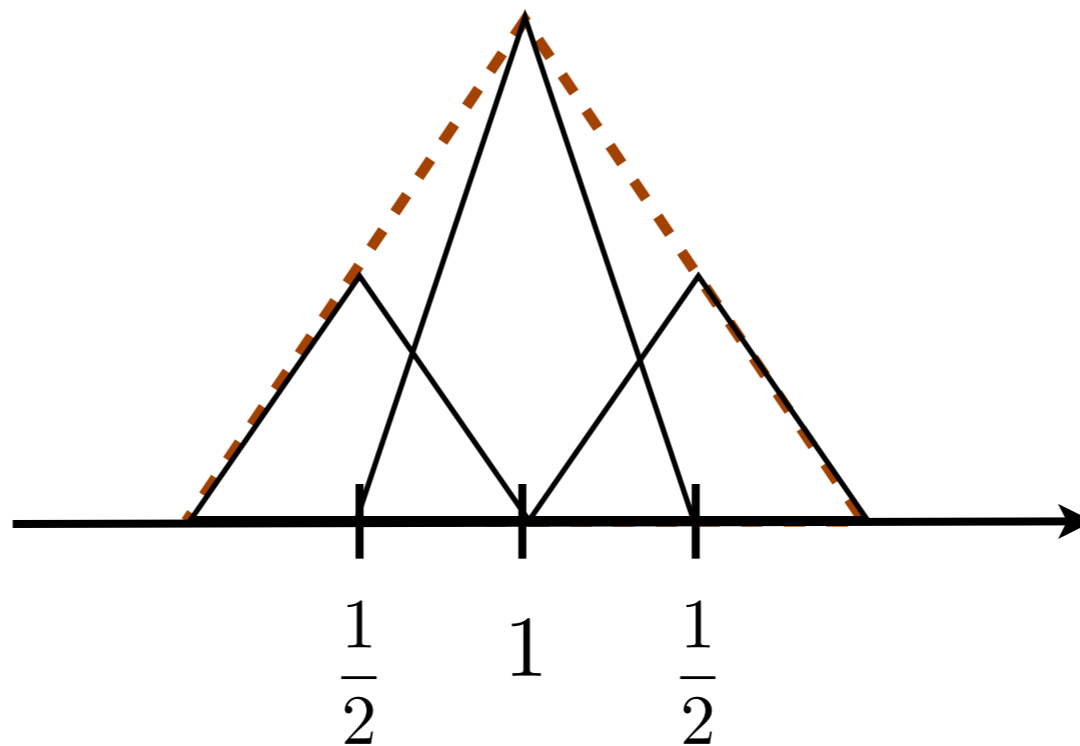
- Subdivision Mask

$$S_k^m = \frac{1}{2^{m-1}} \binom{m}{k}$$

# Refinable Functions

- Example: Linear Spline

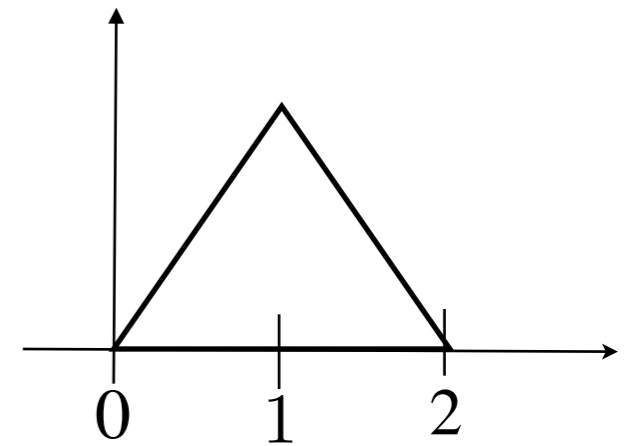
$$\phi(x) = \sqrt{2} \left[ \frac{1}{2} \phi(2x + 1) + \phi(2x) + \frac{1}{2} \phi(2x - 1) \right]$$



# Example

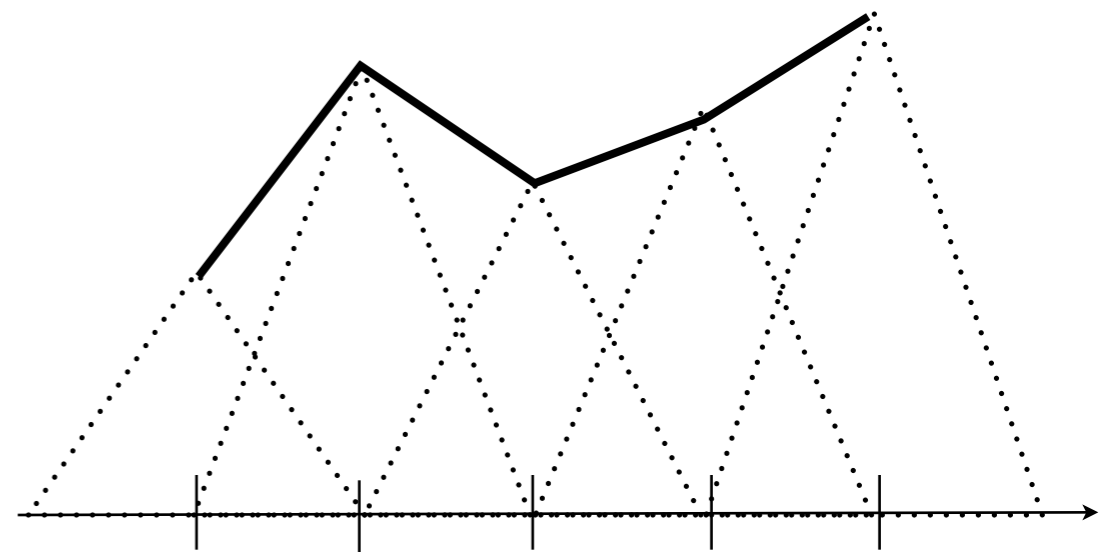
- Linear B-Spline

$$n^2(x) = \begin{cases} x & \text{if } 0 < x \leq 1; \\ 2 - x & \text{if } 1 < x \leq 2; \\ 0 & \text{otherwise} \end{cases}$$



- Rep of  $p(x) = \{p_i\}$

$$p(x) = \sum p_i n^m(x - i)$$



# Scaling and Refinement

- Given  $f$  in  $V_j$

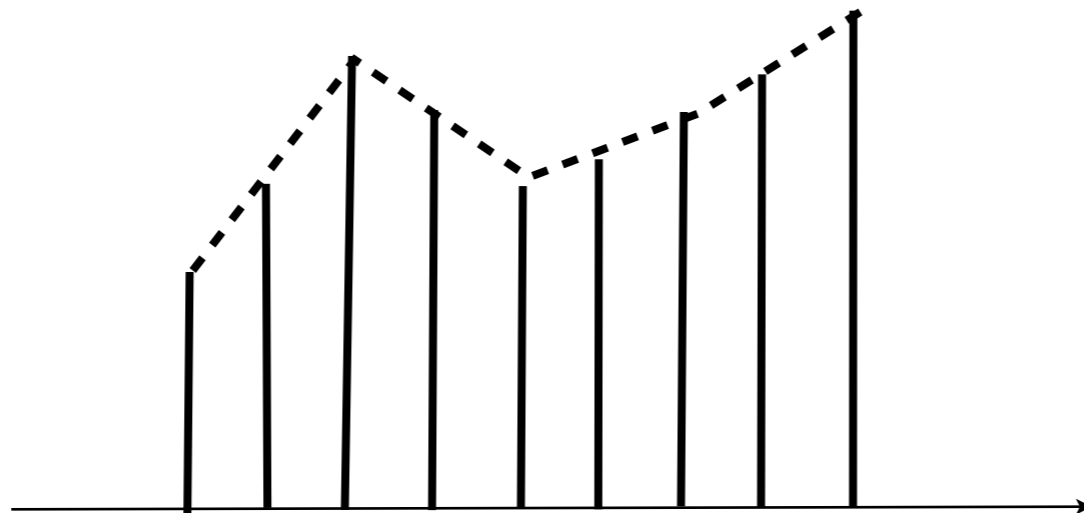
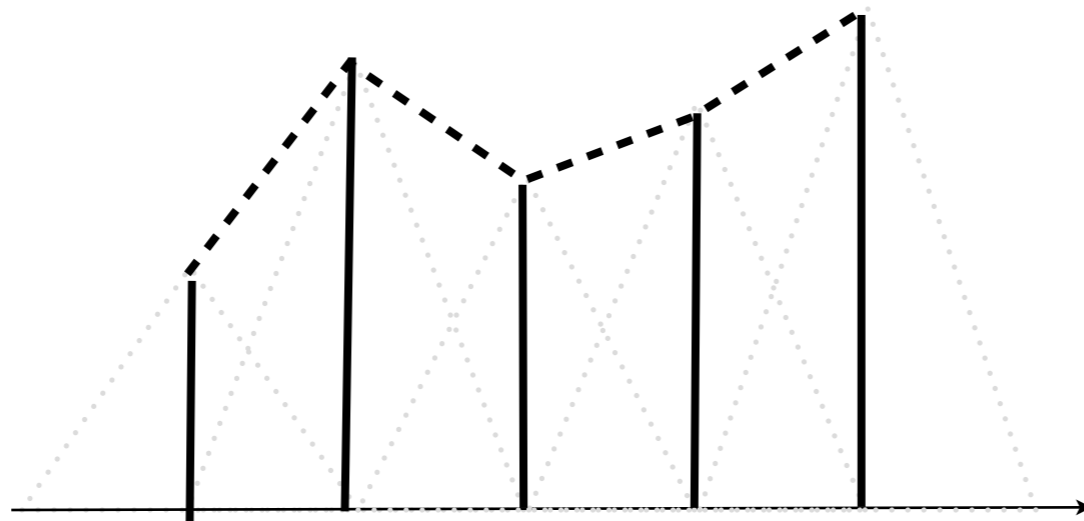
$$f(x) = \sum_k f_k^j(x)$$

- Compute Rep of  $f$  in  $V_{j-1}$

$$\begin{aligned} f_k^{j-1} &= \langle f, \phi_{j-1,k} \rangle \\ &= \langle \sum_k f_k^j \phi_k^j, \phi_{j-1,k} \rangle \\ &= \sum_k f_k^j \langle \phi_k^j, \phi_{j-1,k} \rangle \\ &= \sum_k f_k^j h_k \end{aligned}$$

# Refinement & Reconstruction

- Decrease Scale = Increase Resolution




# Subdivision

- Reconstruction of  $f$  by Refinement  
(*limit process*)

- start with  $\{f_k^j\}_{k \in \mathbb{Z}}$

- iterate  $j \rightarrow -\infty$

$$f_k^{j-1} = \sum f_k^j h_k$$

  
*subdivision operator*

# Elements of Subdivision

$$P_{\infty} = S^{\infty} P_0$$

- Base Shape (control points)

$$P_0$$

- Limit Shape

$$P_{\infty}$$

- Subdivision Scheme

$$S$$



# Subdivision Process

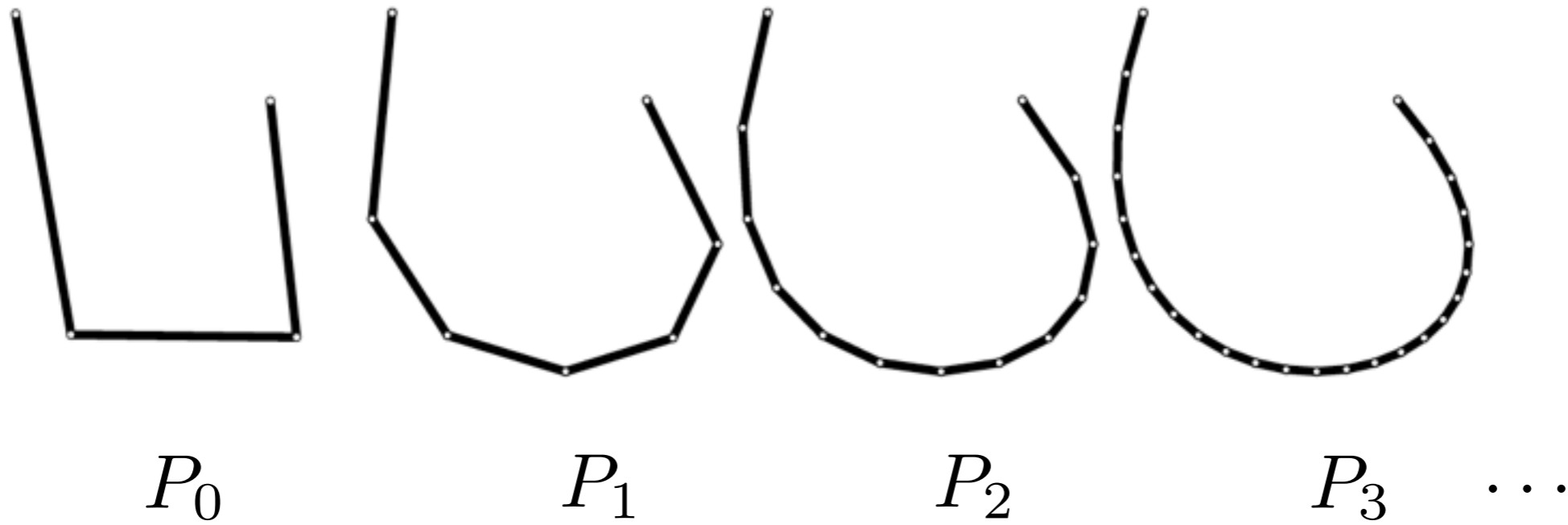
- Subdivision Iteration

$$P_k = SP_{k-1}$$

- Limit Shape

$$P_\infty = S^\infty P_0$$

# Graphical Example



# Subdivision Schemes

- Anatomy of Subdivision

$$S = (R, G)$$

- $R$  : Refinement Operator
- $G$  : Smoothing Operator

- Issues

- Representation (multiresolution basis)
- Convergence

# Subdivision Algorithm

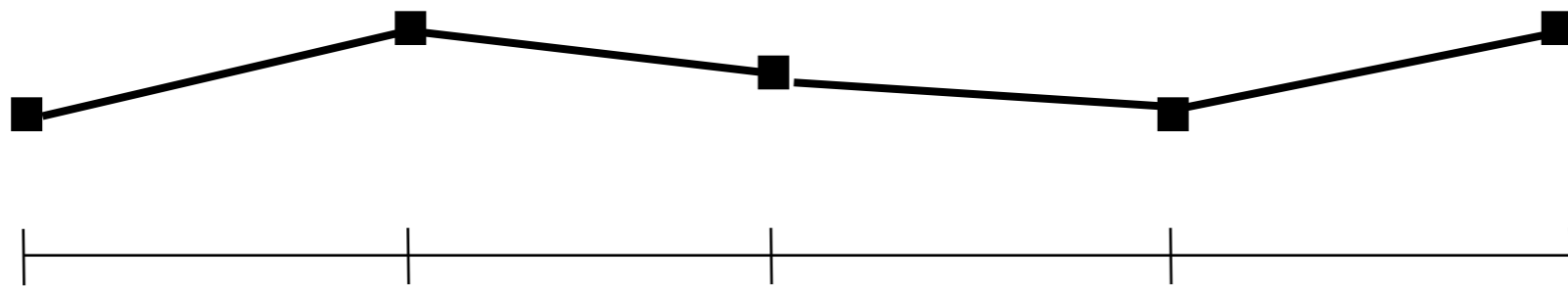
- Start with  $P_0$
- Repeat:
  - Upsample and Change Level

$$P_j(x) = \uparrow P_{j-1}(x)$$

- Update

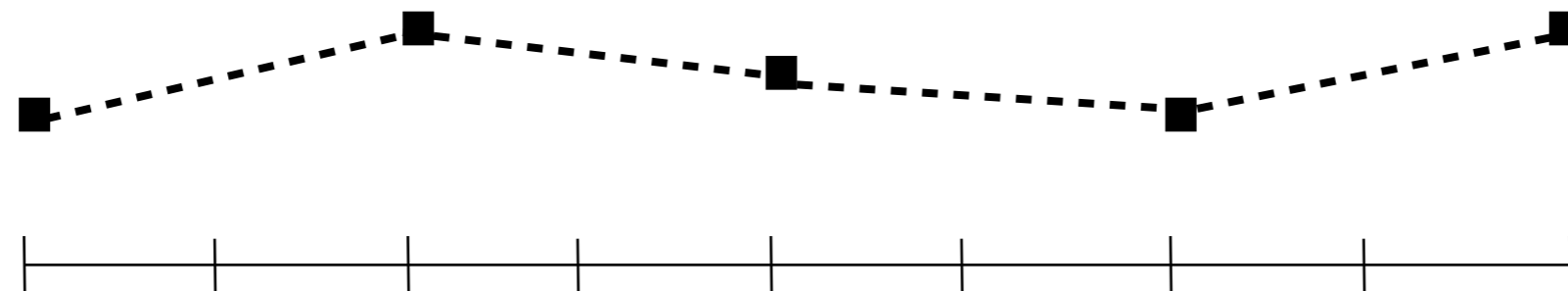
$$P_j(x) = GP_j(x)$$

# Graphical View



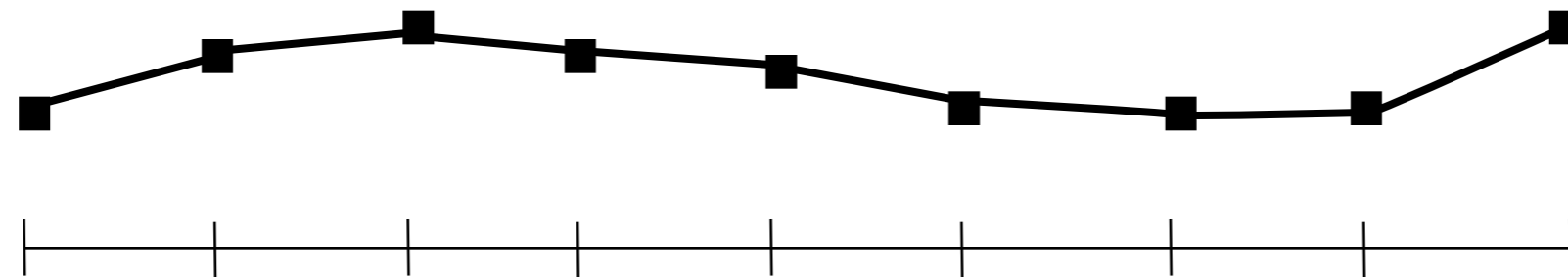
$P^k$

- Topology



$R$

- Geometry



$P^{k+1}$



# Vector Notation

- Knot Vector

$$P_0 = (\dots, p_{-1}^0, p_0^0, p_1^0, \dots)$$

- Subdivision Matrix

$$\begin{pmatrix} \vdots \\ p_{-1}^1 \\ p_0^1 \\ p_1^1 \\ \vdots \end{pmatrix} = \begin{pmatrix} \dots & \begin{matrix} \cdot & s_{-2} \\ \cdot & s_{-1} \\ s_2 & s_0 \end{matrix} & \begin{matrix} s_{-2} \\ \cdot \\ \cdot \end{matrix} & \dots \end{pmatrix} \cdot \begin{pmatrix} \vdots \\ p_{-1}^0 \\ p_0^0 \\ p_1^0 \\ \vdots \end{pmatrix}$$

refinement

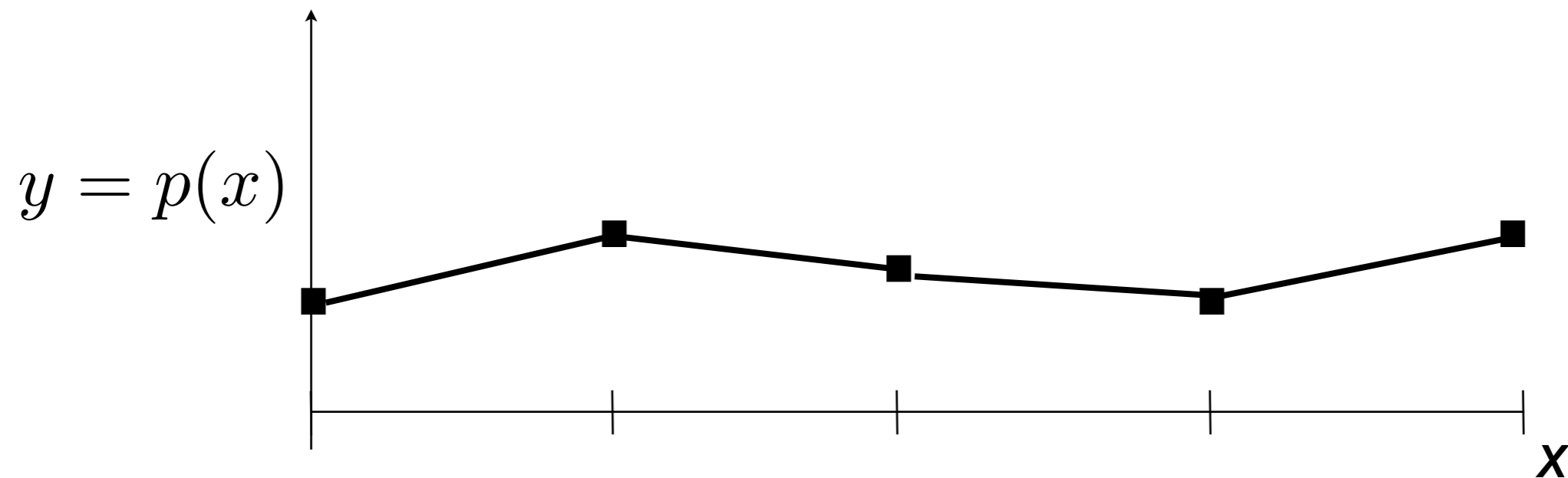
smoothing

# Characteristics

- Nature (level dependency)
  - Stationary  $S^k = S$
  - Non-Stationary
- Domain Structure (connectivity)
  - Regular
  - Non-Regular

# Functional Setting

- Uniform Partition



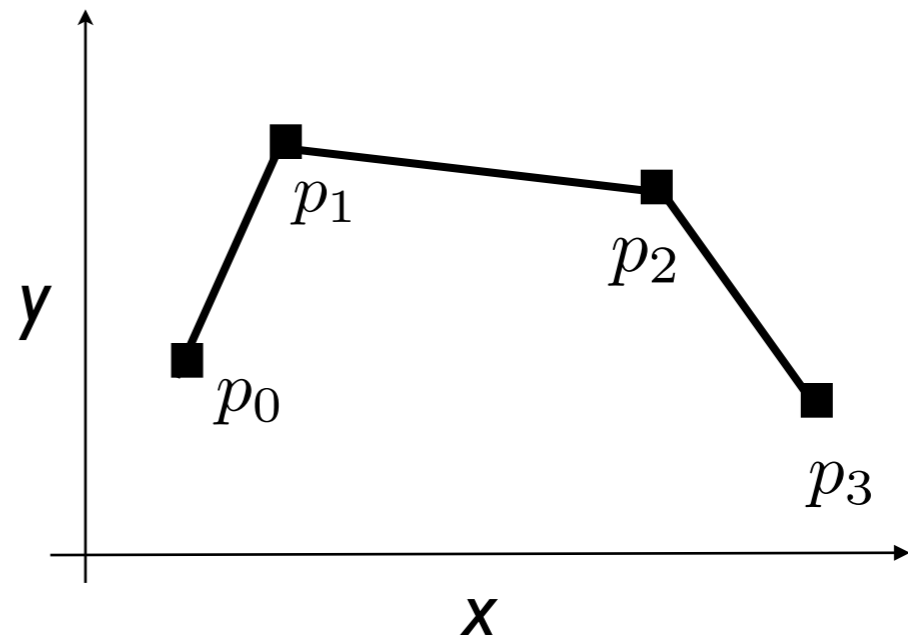
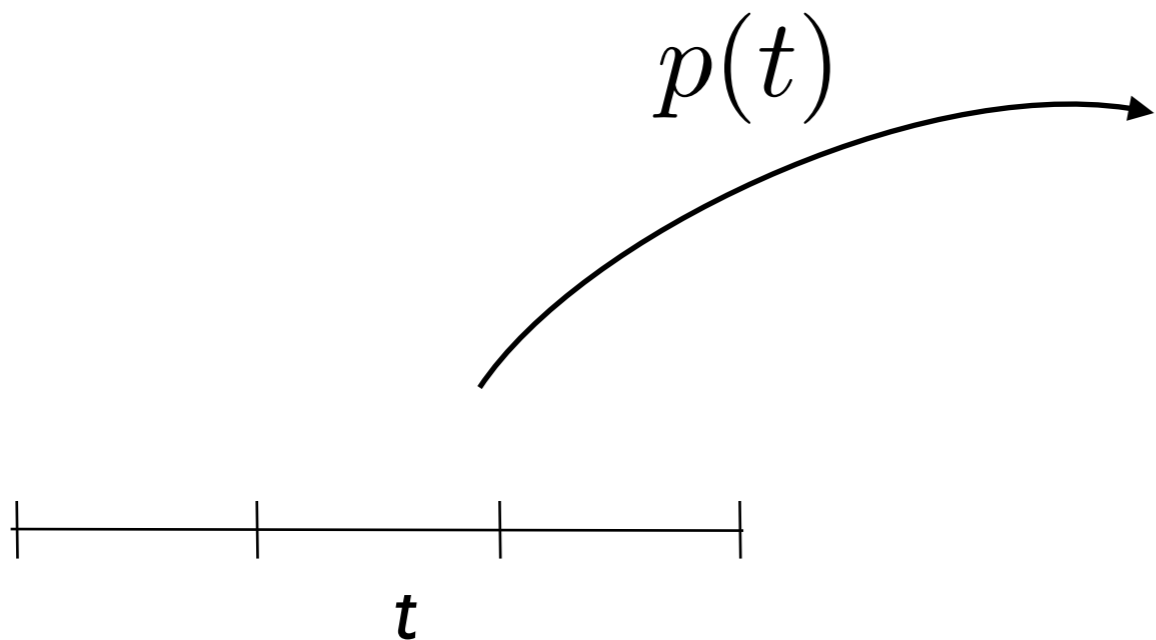


# Parametric Setting

$$(x, y) = p(t) = (x(t), y(t))$$

- Extends functional setting

- Control Points  $p_i = (x_i, y_i)$



# 1D Subdivision

- Example: Cubic B-Spline

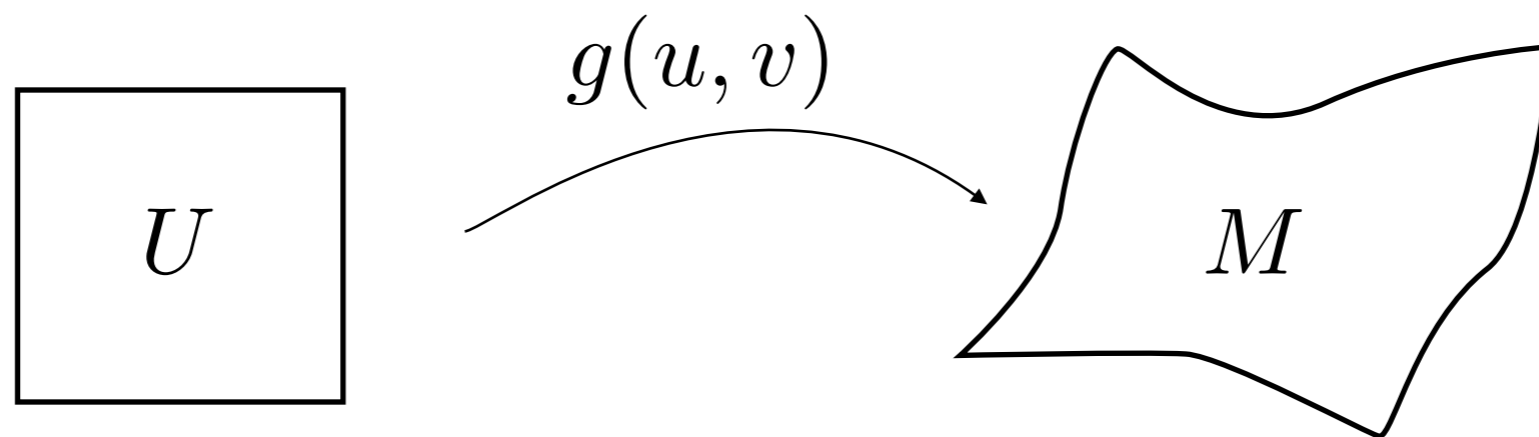
$$S(x) = \frac{1}{8}x_0 + \frac{1}{2}x_1 + \frac{3}{4}x_2 + \frac{1}{2}x_3 + \frac{1}{8}x_4$$

$$\begin{pmatrix} \vdots \\ p_i^{j+1} \\ \vdots \end{pmatrix} = \begin{pmatrix} \dots & \frac{1}{8} & \frac{3}{4} & \frac{1}{2} & \dots \\ \dots & \frac{1}{2} & \frac{3}{4} & \frac{1}{2} & \dots \\ \dots & \frac{1}{8} & \frac{3}{4} & \frac{1}{2} & \dots \end{pmatrix} \begin{pmatrix} \vdots \\ p_k^j \\ \vdots \end{pmatrix}$$

# 2D Subdivision

- Parametric Surfaces

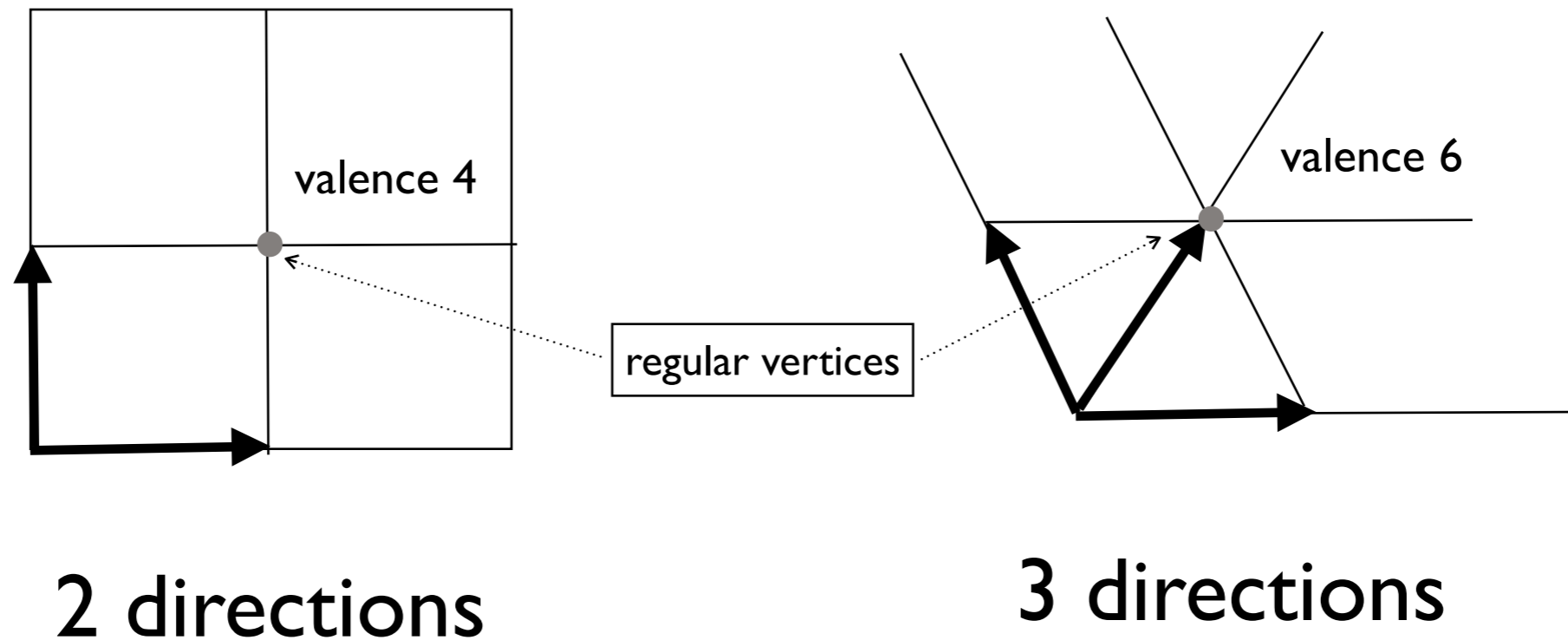
$$g : U \subset \mathbb{R}^2 \rightarrow \mathbb{R}^3$$



$$(x, y, z) = g(u, v) = (x(u, v), y(u, v), z(u, v))$$

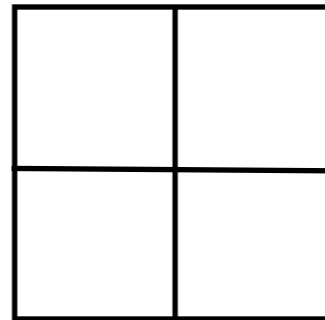
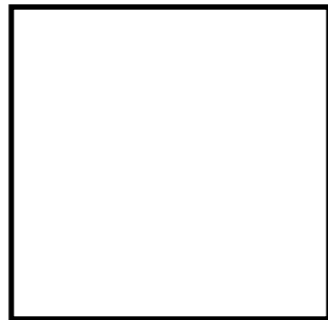
# Domain Discretization

- Regular Meshes

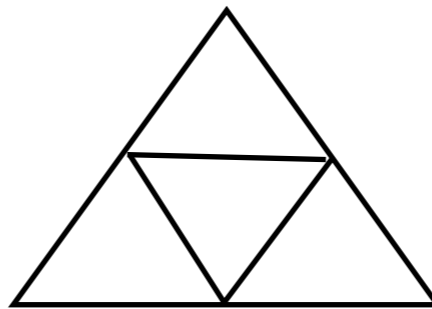
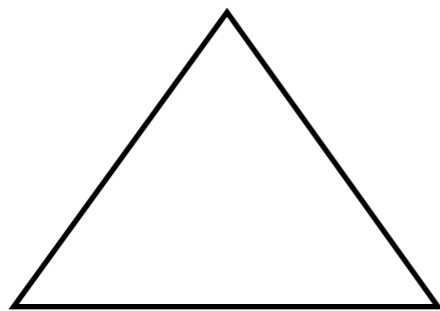


# 2D Refinement

- Quad-Mesh



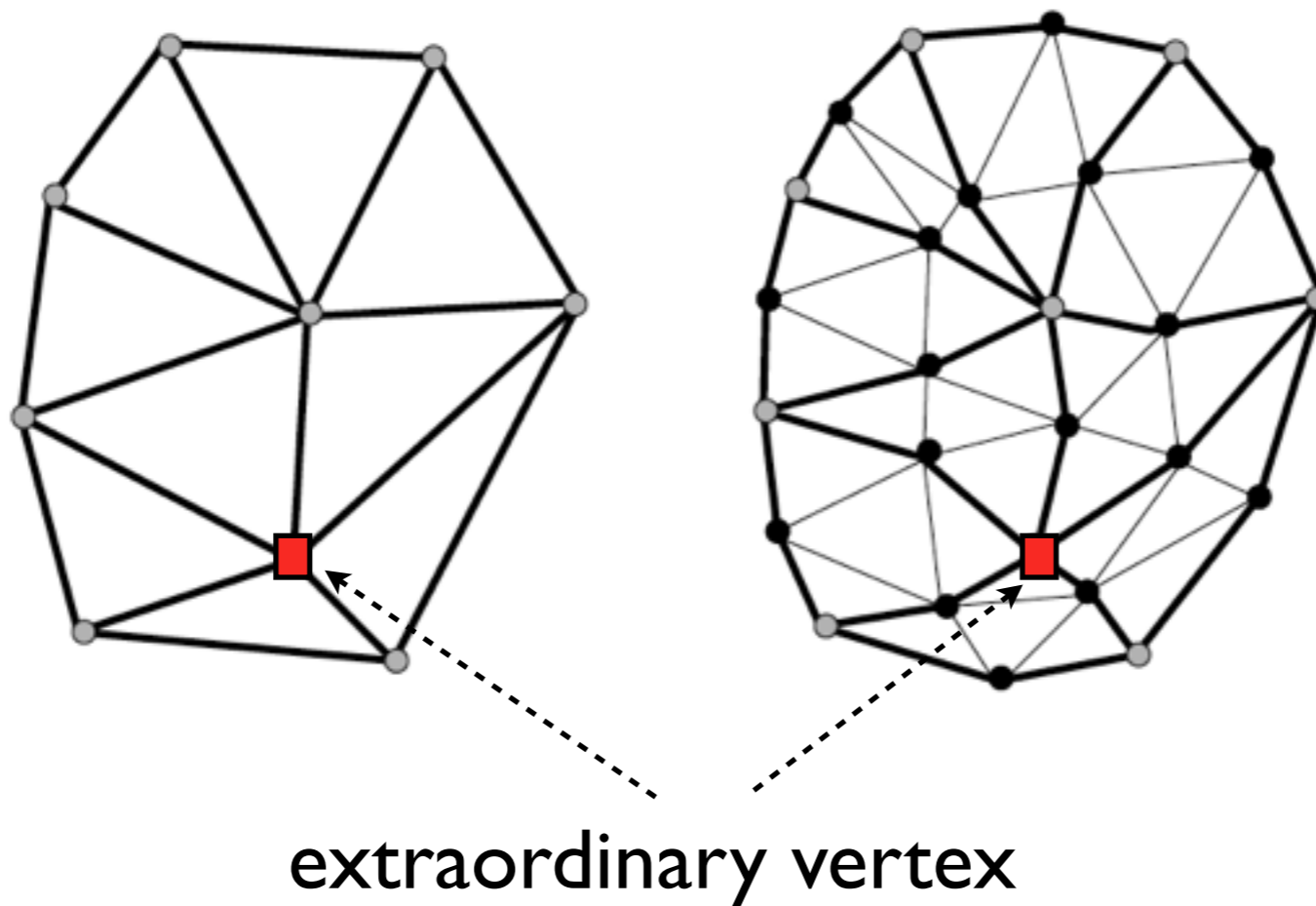
- Tri-Mesh



Obs: *preserves regularity*

# Arbitrary Meshes

- Semi-Regular Meshes
  - ─ Irregular Base Mesh
  - ─ Regular Refinement



# B-Splines & Subdivision

- Subdivision Surfaces
  - Generalize Splines to Non-Regular Connectivity
- Examples:
  - Catmull-Clark  
(tensor product bi-cubic B-spline)
  - Loop Subdivision Surface  
(three-directional quartic box spline)

# Splines & Manifolds

- Uniform Splines
  - Particular case of Manifold Structure
- Characterization
  - Charts: (basis functions at control vertices)
  - Transition Function: (affine transformation)
  - Partition of Unity



# B-Spline Basis

- Recursive Definition

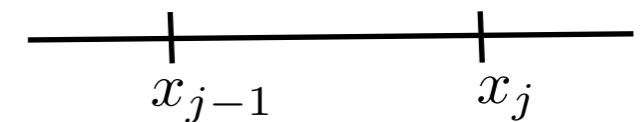
$$B_n := B_{n-1} * B_0$$

- Closed Form (at segment  $S_j$ )

$$b_{j,n}(x) = b_n(x - x_j)$$

with

$$b_n(x) := \frac{n+1}{n} \sum_{i=0}^{n+1} \omega_{i,n} (x - x_i)_+^n$$



translation

- Truncated Power

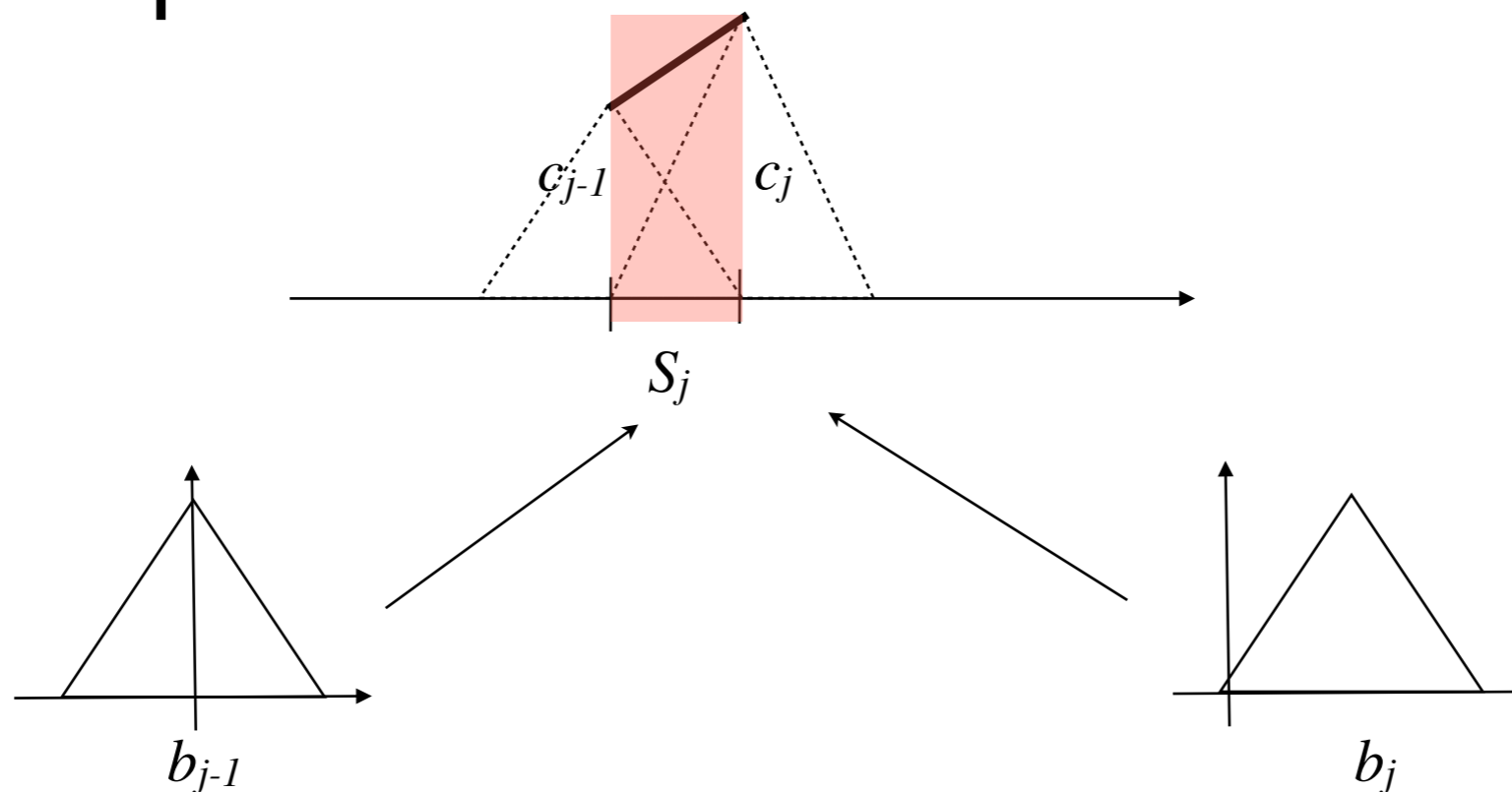
$$(x - x_i)_+^n = \begin{cases} (x - x_i)^n & \text{if } x \geq x_i; \\ 0 & \text{if } x < x_i. \end{cases}$$

# B-Spline Evaluation

- At a Segment  $S_j$

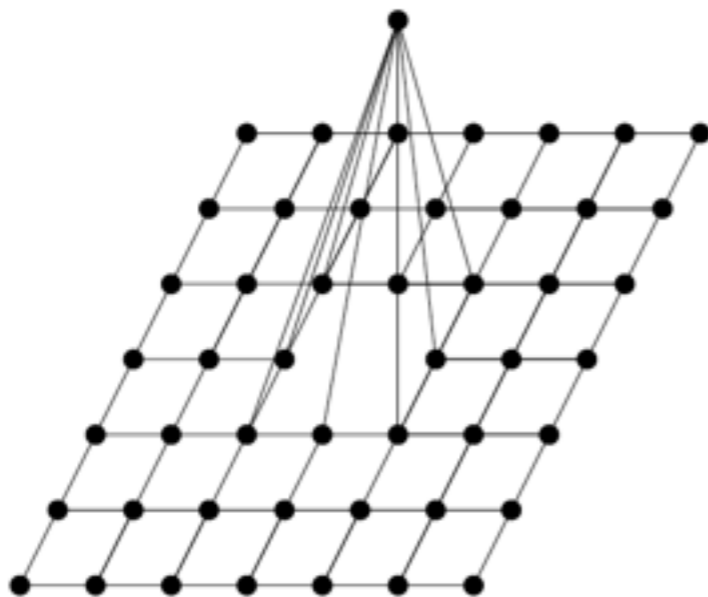
$$s_j(x) = \sum_{j=0}^{m-1} c_j b_{j,n}(x)$$

- Example:

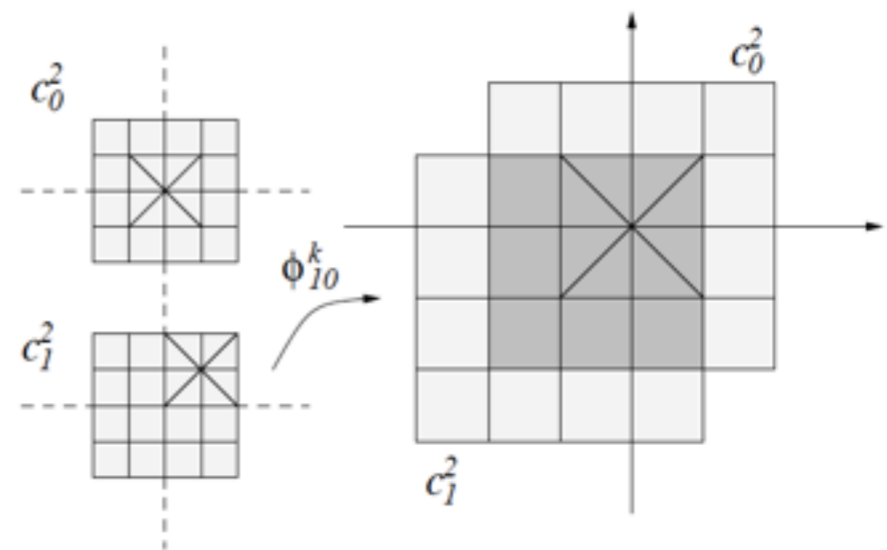


# 2D Scheme

- Quadrilateral Structure



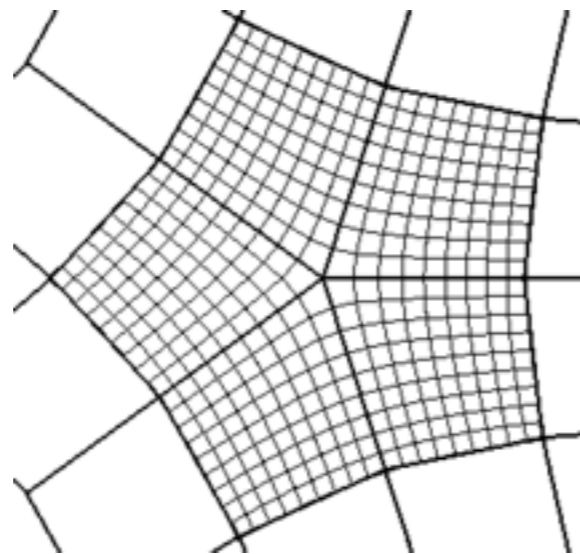
control mesh



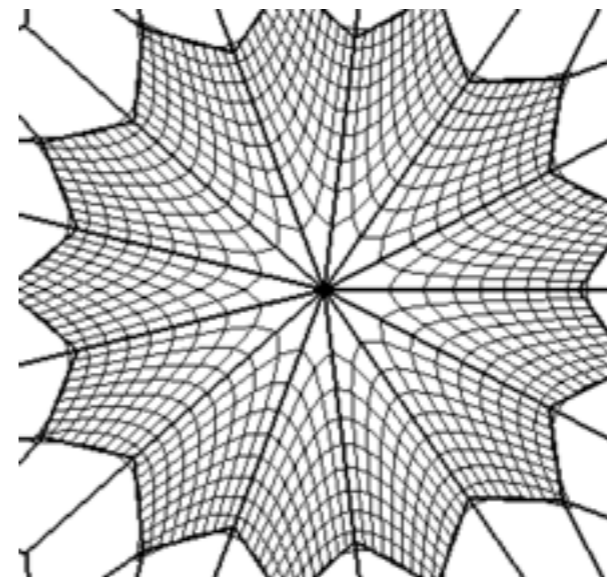
charts / transition function

# Extraordinary Vertices

- Characteristic Map



$k=5$



$k=13$

▶ *Breaks good properties* (transition function, etc)

# Topological Obstructions

- A closed 2-manifold  $M$  admits an affine atlas, if and only if  $M$  is a torus